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Company: THOMAS HOXIE
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Pg#	Description
1	Cover Page
2	205.TXT
4	Document 1, Batch 221090

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1653

PTO/SB/21 (08-03)

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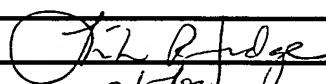
**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

		Application Number	10/081,961
		Filing Date	February 22, 2002
		First Named Inventor	Lori Clarke
		Art Unit	1653
		Examiner Name	Not yet known
Total Number of Pages in This Submission	12	Attorney Docket Number	GTI 005.1US

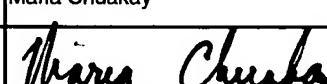
ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Remarks	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below): Copy of Notice of Recordation of Assignment Docs; Copy of recently filed Assignment; Statement

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Linda R. Judge, Registration No. 42,702	
Signature		
Date	2/5/04	

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Maria Chuakay	
Signature		Date 2/5/04

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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TRANSMITTAL
FORM

(to be used for all correspondence after initial filing)

		Application Number	Please See Attached
		Filing Date	Please See Attached
		First Named Inventor	Please See Attached
		Art Unit	Please See Attached
		Examiner Name	Please See Attached
Total Number of Pages in This Submission	27	Attorney Docket Number	GTI - General

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> <input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> <input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	PTO-1595, Assignments, Postcard and Exhibit A
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Linda R. Judge, Registration No. 42,702 Cell Genesys, Inc.
Signature	
Date	11/25/03

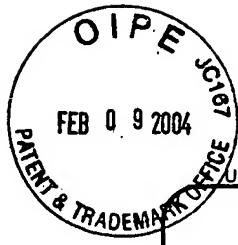
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Typed or printed name	Maria Chuakay
Signature	
	Date 11/25/03

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/82 (09-03)

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**REVOCATION OF POWER OF
ATTORNEY WITH
NEW POWER OF ATTORNEY
AND
CHANGE OF CORRESPONDENCE ADDRESS**

Application Number	10/081,961
Filing Date	February 22, 2002
First Named Inventor	Lori Clarke
Art Unit	1653
Examiner Name	Not yet known
Attorney Docket Number	GTI 005.1US

I hereby revoke all previous powers of attorney given in the above-identified application.

A Power of Attorney is submitted herewith.

OR

I hereby appoint the practitioners associated with the Customer Number: 30539 and 24353

Please change the correspondence address for the above-identified application to:

The address associated with
Customer Number:

24353

OR

<input type="checkbox"/> Firm or Individual Name			
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Address			
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Address			
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City		State	Zip
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Country			
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Telephone		Fax	
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I am the:

Applicant/Inventor.

Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Name	Robert H. Tidwell, Senior Vice President		
Signature			
Date	2-5-04	Telephone	(650) 266-3053

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

*Total of 3 forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: L. Clarke, M. Gorziglia, P. Hallenbeck, J. Jakubczak, M. Kaleko, S. PhippsApplication No./Patent No.: 10/081,961 Filed/Issue Date: February 22, 2002Entitled: Novel Vector ConstructsCell Genesys, Inc. , a Delaware

(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. the assignee of the entire right, title, and interest; or2. an assignee of less than the entire right, title and interest.The extent (by percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:

A. [] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. [✓] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Lori Clarke, et al. To: Novartis AGThe document was recorded in the United States Patent and Trademark Office at
Reel 012992, Frame u289, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

[] Additional documents in the chain of title are listed on a supplemental sheet.

[✓] Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document)
must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be
recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

1-30-04Robert H. Tidwell

Date

Typed or printed name
Robert H. Tidwell(650) 266-3053

Telephone number

Signature

Senior Vice President

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Date Mailed: November 25, 2003 | Atty/Sec: LRJ/mc | Filing Date: Please see attached.

Application No.: Please see attached. | Docket No.: GTI-General

Applicant(s): Please see attached.

Title: PLEASE SEE ATTACHED.

Please imprint Patent Office "date stamp" hereon to indicate receipt and return card to addressee.

____ pages of Specification, Claims &
Abstract
 ____ sheets of formal drawings
 Provisional Application Cover Sheet
 New Utility Application Transmittal
 Transmittal
 Fee Transmittal (in duplicate)
 Declaration (unsigned)
 Assignment & Recordation Cover Sheet
 CPA Request Transmittal
 Application Data Sheet
 Check in the amount of \$
 Amendment/Response

Nonpublication Request under 35 U.S.C.
122(b)(2)(B)(i).
 Response to Notice Of Missing Parts
 Request to Correct Filing Receipt
 Request for Correction of Recorded
Assignment
 IDS, PTO-1449, and cited references
 Issue Fee Transmittal
 Formal Drawings: ____ sheets
 Request for Certificate of Correction
 Notice of Appeal
 Express Mail No.:

Other: PTO-1595, Assignments, Postcard and Exhibit A

Date Mailed: November 25, 2003 | Atty/Sec: LRJ/mc | Filing Date: Please see attached.

Application No.: Please see attached. | Docket No.: GTI-General

Applicant(s): Please see attached.

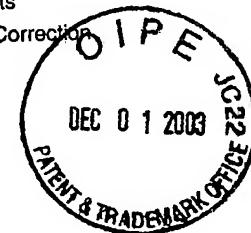
Title: PLEASE SEE ATTACHED.

Please imprint Patent Office "date stamp" hereon to indicate receipt and return card to addressee.

____ pages of Specification, Claims &
Abstract
 ____ sheets of formal drawings
 Provisional Application Cover Sheet
 New Utility Application Transmittal
 Transmittal
 Fee Transmittal (in duplicate)
 Declaration (unsigned)
 Assignment & Recordation Cover Sheet
 CPA Request Transmittal
 Application Data Sheet
 Check in the amount of \$
 Amendment/Response

Nonpublication Request under 35 U.S.C.
122(b)(2)(B)(i).
 Response to Notice Of Missing Parts
 Request to Correct Filing Receipt
 Request for Correction of Recorded
Assignment
 IDS, PTO-1449, and cited references
 Issue Fee Transmittal
 Formal Drawings: ____ sheets
 Request for Certificate of Correction
 Notice of Appeal
 Express Mail No.:

Other: PTO-1595, Assignments, Postcard and Exhibit A



08/16/2002
700016195

+3012584620

FORM PTO-1595
(Rev. 6-83)

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE FEB
Patent and Trademark Office

OMB No. 0651-0011 (exp. 4/94)

PATENTS ONLY

O 9 2004

O I P E
P A T E N T & T R A D E M A R K O F F I C E
S C 1 0 7

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Lori CLARKE; Mario GORZIGLIA; Paul L.
HALLENBECK; John Leonard JAKUBCZAK;
Michael KALEKO; Sandrina PHIPPS

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance:

Assignment Merger
 Security Agreement Change of Name
 Other _____

Execution Date: 7/2/02; 7/19/02; 5/14/02; 7/3/02; 7/1/02;7/2/02

2. Name and address of receiving party(ies)

Name: Novartis AG

Internal Address: _____

Street Address: Schwarzseidlerstrasse 215City: Basel State: Switzerland ZIP: 4058Additional name(s) & address(es) attached? Yes No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

10/081,961

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Thomas HoxieInternal Address: Novartis CorporationPatent and Trademark Dept.Street Address: 564 Morris AvenueCity: Summit State: NJ ZIP: 07901-10276. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41) \$ 40 Enclosed Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

10-0134 (in the name of Novartis Corporation)

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

J. Timothy MeigsName of Person Signing
Reg. No. 38,241

Signature

August 16, 2002

Date

 Certificate of mailing on reverse sideTotal number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments

Washington, D.C. 20231



Form PTO-1595
(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2005)

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PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

Tab settings ⇡ ⇢ ⇣

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Genetic Therapy, Inc.

2. Name and address of receiving party(ies)

Name: Cell Genesys, Inc.

Internal Address:

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance:

Assignment

Merger

Security Agreement

Change of Name

Other

Execution Date: 10/1/2003

Street Address: 500 Forbes Blvd.

City: SSF State: CA Zip: 94080

Additional name(s) & address(es) attached? Yes No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: N/A

A. Patent Application No.(s) See attached

B. Patent No.(s) See attached

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Cell Genesys, Inc.

Intellectual Property

Internal Address:

Street Address: 500 Forbes Blvd.

City: SSF State: CA Zip: 94080

6. Total number of applications and patents involved: 15

7. Total fee (37 CFR 3.41). \$ 600.00

Enclosed

Authorized to be charged to deposit account

8. Deposit account number:

031184

9. Signature.

Linda R. Judge, Esq., Reg. No. 42,702

Name of Person Signing

Signature

11/25/03

Date

Total number of pages including cover sheet, attachments, and documents: 27

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments

Washington, D.C. 20231



EXHIBIT A
ASSIGNED APPLICATIONS/PATENTS

DOCKET NUMBER	SERIAL/PATENT NUMBER	FILING/ISSUE DATE	STATUS
GTI 002.0US	08/348,258	11/28/1994	ABANDONED
GTI 002.1US	08/487,992	06/07/1995	ABANDONED
GTI 002.2US	5,998,205	12/07/1999	ISSUED
GTI 002.3US	6,551,587	04/22/2003	ISSUED
GTI 002.4US	10/323,425	12/19/2002	PENDING
GTI 002.5US	10/323,955	12/19/2002	PENDING
GTI 002.6US	10/323,984	12/19/2002	PENDING
GTI 003.0US	08/974,391	11/19/1997	ISSUED
GTI 003.1US	10/602,853	06/24/2003	PENDING
GTI 004.0US	60/270,922	02/23/2001	ABANDONED
GTI 004.1US	60/295,037	06/01/2001	ABANDONED
GTI 004.2US	60/348,670	01/14/2002	ABANDONED
GTI 004.3US	10/081,969	02/22/2002	PENDING
GTI 005.0US	60/270,885	02/23/2001	ABANDONED
GTI 005.1US	10/081,961	02/22/2002	PENDING

ASSIGNMENT

Novartis AG, a corporation organized under the laws of the Swiss Confederation, with a principal business address of Lichtstrasse 35, CH-4056 Basel, Switzerland, for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, does hereby assign to **CELL GENESYS, INC.**, a corporation organized under the laws of the State of Delaware, with a principal business address of 500 Forbes Boulevard, South San Francisco, California 94080, (hereinafter Assignee), its successors, assigns and legal representatives, all its right, title and interest, which includes the right to and full benefit of such priorities as may now or hereafter be granted to it by local laws or by treaty, including any international convention for the protection of industrial property, in and for all countries of the world, including the United States and its territories and possessions, in and to the invention described in the following:

U.S. Patent Application No. 10/081,961, filed February 22, 2002

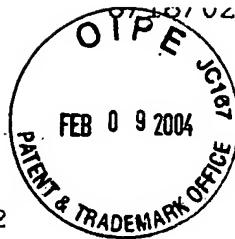
and in and to all Convention and Treaty rights of all kinds, in all countries throughout the world, for all such subject matter, including the right to claim for any such foreign applications and U.S. applications any priority rights to which such applications are entitled under international conventions, treaties or otherwise, and, and in and to, all Letters Patent to be obtained for said subject matter by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(b) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent.

NOVARTIS AG

Dated: October 2, 2003

By 
Arthur Canonica
Patent and Trademark Administrator

By 
Hans Rudolf Haus
Patent and Trademark Administrator



AUGUST 16, 2002

NOVARTIS CORPORATION
THOMAS HOXIE
PATENT AND TRADEMARK DEPT.
564 MORRIS AVENUE
SUMMIT, NJ 07901-1027

PTAS

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

700016195A

700016195A

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/16/2002

REEL/FRAME: 012992/0289
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNEE'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNEE:

CLARKE, LORI

DOC DATE: 07/02/2002

ASSIGNEE:

GORZIGLIA, MARIO

DOC DATE: 07/19/2002

ASSIGNEE:

HALLENBECK, PAUL L.

DOC DATE: 05/14/2002

ASSIGNEE:

JAKUBCZAK, JOHN LEONARD

DOC DATE: 07/03/2002

ASSIGNEE:

KALEKO, MICHAEL

DOC DATE: 07/01/2002

ASSIGNEE:

PHIPPS, SANDRINA

DOC DATE: 07/02/2002

ASSIGNEE:

NOVARTIS AG
SCHWARZWALDELLEE 215
BASEL, SWITZERLAND 4058

012992/0289 PAGE 2

SERIAL NUMBER: 10081961
PATENT NUMBER:

FILING DATE: 02/22/2002
ISSUE DATE:

JEFFREY OLSEN, EXAMINER
ASSIGNMENT DIVISION
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